

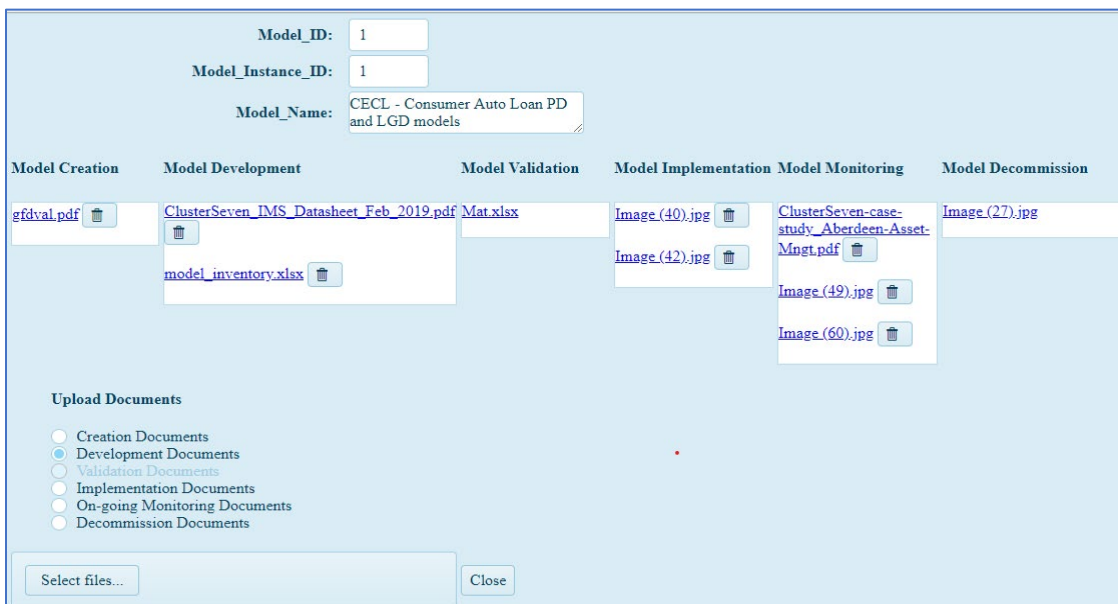
Model Document Management

Proper documentation is key to model risk transparency.

SR 11-7, the US regulatory model governance standard, provides a framework for effective model governance, separation of model development and use, from model validation, as well as full model inventory management and documentation.

Maintenance of proper documentation at every stage of Model Life Cycle is essential to MRM.

Model document upload, download and archival should be integral to any robust Model Inventory system.



Solution Overview

The Model Inventory Engine enables organizations to manage model documentation seamlessly to conform to SR 11-7 guidelines. Some key features include:

- ✓ Model documents managed by model life cycle stages – creation, development, validation, implementation, on-going monitoring and model retirement.
- ✓ Most commonly used document types such as Excel, PDF, Word, Images can be uploaded out-of-the-box.
- ✓ Customizable to suit the needs of the organization if new types of documents need to be uploaded
- ✓ Role-based permissions e.g. developers cannot upload validation docs and vice-versa.
- ✓ Can be configured to allow only certain types,

“Strong governance also includes documentation of model development and validation that is sufficiently detailed to allow parties unfamiliar with a model to understand how the model operates, as well as its limitations and key assumptions.”

-Federal Reserve, SR 11-7

